

Investment Company Report

THE BANK OF NEW YORK MELLON CORPORATION

Security	064058100	Meeting Type	Annual
Ticker Symbol	BK	Meeting Date	13-Apr-2021
ISIN	US0640581007	Agenda	935338132 - Management

Item	Proposal	Proposed by	Vote	For/Against Management
1A.	Election of Director: Linda Z. Cook	Management	For	For
1B.	Election of Director: Joseph J. Echevarria	Management	For	For
1C.	Election of Director: Thomas P. "Todd" Gibbons	Management	For	For
1D.	Election of Director: M. Amy Gilliland	Management	For	For
1E.	Election of Director: Jeffrey A. Goldstein	Management	For	For
1F.	Election of Director: K. Guru Gowrappan	Management	For	For
1G.	Election of Director: Ralph Izzo	Management	For	For
1H.	Election of Director: Edmund F. "Ted" Kelly	Management	For	For
1I.	Election of Director: Elizabeth E. Robinson	Management	For	For
1J.	Election of Director: Samuel C. Scott III	Management	For	For
1K.	Election of Director: Frederick O. Terrell	Management	For	For
1L.	Election of Director: Alfred W. "Al" Zollar	Management	For	For
2.	Advisory resolution to approve the 2020 compensation of our named executive officers.	Management	For	For
3.	Ratification of KPMG LLP as our independent auditor for 2021.	Management	For	For
4.	Stockholder proposal regarding stockholder requests for a record date to initiate written consent.	Shareholder	Against	For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
B01PI3F2500002C	B01PI3F2500002 C	PNAAC - MELLON	BNY MELLON	1,160	0	12-Mar-2021	12-Mar-2021

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CITIGROUP INC.

Security	172967424	Meeting Type	Annual
Ticker Symbol	C	Meeting Date	27-Apr-2021
ISIN	US1729674242	Agenda	935349515 - Management

Item	Proposal	Proposed by	Vote	For/Against Management
1A.	Election of Director: Ellen M. Costello	Management	For	For
1B.	Election of Director: Grace E. Dailey	Management	For	For
1C.	Election of Director: Barbara J. Desoer	Management	For	For
1D.	Election of Director: John C. Dugan	Management	For	For
1E.	Election of Director: Jane N. Fraser	Management	For	For
1F.	Election of Director: Duncan P. Hennes	Management	For	For
1G.	Election of Director: Peter B. Henry	Management	For	For
1H.	Election of Director: S. Leslie Ireland	Management	For	For
1I.	Election of Director: Lew W. (Jay) Jacobs, IV	Management	For	For
1J.	Election of Director: Renée J. James	Management	For	For
1K.	Election of Director: Gary M. Reiner	Management	For	For
1L.	Election of Director: Diana L. Taylor	Management	For	For
1M.	Election of Director: James S. Turley	Management	For	For
1N.	Election of Director: Deborah C. Wright	Management	For	For
1O.	Election of Director: Alexander R. Wynaendts	Management	For	For
1P.	Election of Director: Ernesto Zedillo Ponce de Leon	Management	For	For
2.	Proposal to ratify the selection of KPMG LLP as Citi's independent registered public accounting firm for 2021.	Management	For	For
3.	Advisory vote to approve Citi's 2020 Executive Compensation.	Management	For	For
4.	Approval of additional authorized shares under the Citigroup 2019 Stock Incentive Plan.	Management	For	For
5.	Stockholder proposal requesting an amendment to Citi's proxy access by-law provisions pertaining to the aggregation limit.	Shareholder	Against	For
6.	Stockholder proposal requesting an Independent Board Chairman.	Shareholder	Against	For
7.	Stockholder proposal requesting non-management employees on director nominee candidate lists.	Shareholder	Against	For
8.	Stockholder proposal requesting a report disclosing information regarding Citi's lobbying payments, policies and activities.	Shareholder	Against	For
9.	Stockholder proposal requesting a racial equity audit analyzing Citi's adverse impacts on nonwhite stakeholders and communities of color.	Shareholder	Against	For

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10. Stockholder proposal requesting that the Board approve an amendment to Citi's Certificate of Incorporation to become a Public Benefit Corporation and to submit the proposed amendment to stockholders for approval.
- Shareholder Against For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
00047580689 ! 5RY0	PORTLAND NORTH AMERICAN ALTERNATIVE FUND	PNA- RBC 47580689	RBC DOMINION SECURITIES INC	1,830	0	31-Mar-2021	31-Mar-2021

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TC ENERGY CORPORATION

Security	87807B107	Meeting Type	Annual
Ticker Symbol	TRP	Meeting Date	07-May-2021
ISIN	CA87807B1076	Agenda	935366066 - Management

Item	Proposal	Proposed by	Vote	For/Against Management
01	DIRECTOR	Management		
	1 Stéphan Crétier		For	For
	2 Michael R. Culbert		For	For
	3 Susan C. Jones		For	For
	4 Randy Limbacher		For	For
	5 John E. Lowe		For	For
	6 David MacNaughton		For	For
	7 François L. Poirier		For	For
	8 Una Power		For	For
	9 Mary Pat Salomone		For	For
	10 Indira V. Samarasekera		For	For
	11 D. Michael G. Stewart		For	For
	12 Siim A. Vanaselja		For	For
	13 Thierry Vandal		For	For
02	Resolution to appoint KPMG LLP, Chartered Professional Accountants as auditors and authorize the directors to fix their remuneration.	Management	For	For
03	Resolution to accept TC Energy's approach to executive compensation, as described in the Management information circular.	Management	For	For
4	Resolution to approve amendments to TC Energy's By-law Number 1, as described in the Management information circular.	Management	For	For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
00047580689 ! 5RY0	PORTLAND NORTH AMERICAN ALTERNATIVE FUND	PNA- RBC 47580689	RBC DOMINION SECURITIES INC	6,700	0	09-Apr-2021	09-Apr-2021

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LUMEN TECHNOLOGIES, INC.

Security	550241103	Meeting Type	Annual
Ticker Symbol	LUMN	Meeting Date	19-May-2021
ISIN	US5502411037	Agenda	935382832 - Management

Item	Proposal	Proposed by	Vote	For/Against Management
1A.	Election of Director: Quincy L. Allen	Management	For	For
1B.	Election of Director: Martha Helena Bejar	Management	For	For
1C.	Election of Director: Peter C. Brown	Management	For	For
1D.	Election of Director: Kevin P. Chilton	Management	For	For
1E.	Election of Director: Steven T. "Terry" Clontz	Management	For	For
1F.	Election of Director: T. Michael Glenn	Management	For	For
1G.	Election of Director: W. Bruce Hanks	Management	For	For
1H.	Election of Director: Hal Stanley Jones	Management	For	For
1I.	Election of Director: Michael Roberts	Management	For	For
1J.	Election of Director: Laurie Siegel	Management	For	For
1K.	Election of Director: Jeffrey K. Storey	Management	For	For
2.	Ratify the appointment of KPMG LLP as our independent auditor for 2021.	Management	For	For
3.	Ratify the amendment to our Amended and Restated NOL Rights Plan.	Management	For	For
4.	Advisory vote to approve our executive compensation.	Management	For	For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
B01PI3F2500002C	B01PI3F2500002 C	PNAAC - MELLON	BNY MELLON	3,893	0	09-Apr-2021	09-Apr-2021

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SHAW COMMUNICATIONS INC.

Security	82028K200	Meeting Type	Special
Ticker Symbol	SJR	Meeting Date	20-May-2021
ISIN	CA82028K2002	Agenda	935403648 - Management

Item	Proposal	Proposed by	Vote	For/Against Management
01	<p>A special resolution, the full text of which is set forth in Appendix A to the accompanying management information circular dated April 14, 2021 (the "Circular"), to approve the proposed arrangement between Shaw Communications Inc. ("Shaw"), Rogers Communications Inc. (the "Purchaser") and the holders of Class A Participating Shares and Class B Non-Voting Participating Shares of Shaw pursuant to a plan of arrangement under section 193 of the Business Corporations Act (Alberta), whereby the Purchaser will, among other things, acquire all of the issued and outstanding Class A Participating Shares and Class B Non-Voting Participating Shares in the capital of Shaw, as more particularly described in the Circular.</p>	Management	For	For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
B01PI3F2500002C	B01PI3F2500002 C	PNA - MELLON	CIBC MELLON GLOBAL SECURITIES SERVICES	11,300	0	28-Apr-2021	28-Apr-2021

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MITSUBISHI CORPORATION

Security	J43830116	Meeting Type	Annual General Meeting
Ticker Symbol		Meeting Date	25-Jun-2021
ISIN	JP3898400001	Agenda	714204132 - Management

Item	Proposal	Proposed by	Vote	For/Against Management
	Please reference meeting materials.	Non-Voting		
1	Approve Appropriation of Surplus	Management	For	For
2.1	Appoint a Director Kobayashi, Ken	Management	For	For
2.2	Appoint a Director Kakiuchi, Takehiko	Management	For	For
2.3	Appoint a Director Masu, Kazuyuki	Management	For	For
2.4	Appoint a Director Murakoshi, Akira	Management	For	For
2.5	Appoint a Director Hirai, Yasuteru	Management	For	For
2.6	Appoint a Director Kashiwagi, Yutaka	Management	For	For
2.7	Appoint a Director Nishiyama, Akihiko	Management	For	For
2.8	Appoint a Director Saiki, Akitaka	Management	For	For
2.9	Appoint a Director Tatsuoka, Tsuneyoshi	Management	For	For
2.10	Appoint a Director Miyanaga, Shunichi	Management	For	For
2.11	Appoint a Director Akiyama, Sakie	Management	For	For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
PI3F2500002	PI3F2500002	PNA - MELLON	BNY MELLON	4,170	0	02-Jun-2021	02-Jun-2021